

<b>Notice of References Cited</b>	Application/Control No. 09/672,116	Applicant(s)/Patent Under Reexamination KALE ET AL.	
	Examiner Ella Colbert	Art Unit 3624	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
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	B	US-5,999,918	12-1999	Williams et al.	705/36R
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
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	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	M. J. Brennan; "The Role of Learning in Dynamic Portfolio Decisions"; January 1997; Pages 1-16.
	V	Seppo Pynnonen, Ph.D.; "Use of Modern Portfolio Theory in Systematic Portfolio Strategies"; Department of Mathematics and Statistics, University of Vaasa; May 1995; Pages 1-22.
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.